### HE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: SCOTT C. JOHNSON ET AL.

Filed:

MAY 31, 2001

For:

NETWORK CONNECTED COMPUTING SYSTEM INCLUDING

STORAGE SYSTEM

Serial No.:

09/871,134

Group Art Unit:

2152

Examiner:

UNKNOWN

Atty Docket No.:

**SURG:158** 

FEB 2 1 2002

Technology Center 2100

Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**Assistant Commissioner for Patents** Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document(s) listed on attached Form PTO-1449 be considered by the Examiner and made of record.

In accordance with 37 C.F.R §§ 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Supplemental Information and Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Deposit Account No. 10-1205/SURG:158.

A copy of the listed document(s) required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

Applicant respectfully requests that the listed document(s) be made of record in the present case.

Respectfully submitted,

Richard D. Egan

Reg. No. 36,788

Attorney for Applicant

O'KEEFE, EGAN & PETERMAN, LLP 1101 Capital of Texas Highway South Building C, Suite 200 Austin, Texas 78746 (512) 347-1611 FAX: (512) 347-1615

**Enclosures** 

Form PTO-1449 (modified

Atty. Docket No. **SURG:158** 

Serial No. 09/871,134

List of Patents and Publications for Applicant

Applicant Scott C. Johnson t al.

May 31, 2001

#### INFORMATION DISCLOSURE STATEMENT

Filing Date:

Group: 2152

U.S. Patent Documents See Page

Foreign Patent Documents See Page

Other Art

FEB 2 1 2002
Technology Center 2100 See Pages 1-2

#### **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1						

# **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						

# Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation			
	C28	Braden, Ed., et al., "Resource ReSerVation Protocol (RSVP)", Version 1 Functional Specification, Sept. 1997			
	C29	Blake, et al., "An Architecture for Differentiated Services", Dec. 1998			
-	C30	Nichols, et al., "Definition of the Differentiated Services Field (DS Field) in the Ipv4 and Ipv6 Headers", Dec. 1998			
	C31	"White Paper-Introduction to QoS Policies", Stardust.com, Inc., July 6, 1999			
	C32	"White Paper-QoS Protocols & Architectures", Stardust.com, Inc., July 8, 1999			
	C33	"Technology Backgrounder-Quality of Service-Glossary of Terms", Compiled by Vicki Johnson, Stardust.com, Inc., May 1999			
	C34	Platt, Charles., "The Future Will Be Fast But Not Free", May 2001			
	C35	Copending U.S. Application Serial No. 09/871,401, Filed May 31, 2001, "Network Connected Computing System Including Network Switch"			
	C36	Copending U.S. Application Serial No. 09/797,413, Filed March 1, "Network Connected Computing System"			
	C37	"Quality of Service (QoS) in the New Public Network Architecture", IEEE Canadian Review - Fall/Automne 2000			

Examiner: Date	Consider	d:
----------------	----------	----

EXAMINER: initial if reference considered, whether or not citation is in conformance with MPEP609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449 (modified) List of Patents and Publications for Applicant

Atty. Docket No. SURG:158

Serial No. 09/871,134

**Applicant** 

Scott C. Johnson et al.

INFORMATION DISCLOSURE STATEMENT

Filing Date:

Group:

May 31, 2001

2152 Other Art

**U.S. Patent Documents** See Page

Foreign Patent Documents See Page

See Pages 1-2

## Other Art (Including Author, Title, Date, Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation			
	C38	Armitage, Grenville et al., "MPLS: The Magic Behind the Myths", IEEE Communications Magazine, January 2000			
	C39	InfiniBand™ Architecture Specification Volume 1 - Release 1.0, InfiniBand <sup>SM</sup> Trade Association, October 24, 2000			
	C40	InfiniBand™ Architecture Specification Volume 2 - Release 1.0, InfiniBand™ Trade Association, October 24, 2000			

RECEIVED

Technology Center 2100

**Examiner:** 

**Date Considered:**